

# KING & SPALDING LLP

191 Peachtree Street  
Atlanta, Georgia 30303-1763  
Telephone: 404/572-4600  
Facsimile: 404/572-5100  
[www.kslaw.com](http://www.kslaw.com)

RECEIVED  
CENTRAL FAX CENTER  
JUL 08 2005

## F A C S I M I L E

*Important Notice: This facsimile transmission is intended to be delivered only to the named addressee, and may contain material that is confidential, proprietary or subject to legal protection or privilege. If it is received by anyone other than the named addressee, the recipient should immediately notify the sender at the address and telephone number set forth herein and obtain instructions as to the disposal of the transmitted material. In no event should such material be read or retained by anyone other than the named addressee, except by express authority of the sender or the named addressee.*

DATE: July 8, 2005

Recipient	Company	City/State	Telephone #	Fax #
Commissioner for Patents - Attn: Office of Initial Patent Examination	United States Patent and Trademark Office	Alexandria, VA		(703) 746-9195

FROM: Madeline I. Johnston, Ph.D., Esq. 5833

Our Ref. #: 11287.105001 AST100

NUMBER OF PAGES (Including Cover Page): 6

MESSAGE:

PLEASE CHECK THAT ALL PAGES ARE RECEIVED. IN CASE OF PROBLEMS, PLEASE CALL MINIKIA D. BLAIR AT 404/572-2544.

ALL RETURN TELECOPY MESSAGES SHOULD BE SENT TO 404/572-5145. THANK YOU.

RECEIVED

CENTRAL FAX CENTER

JUL 08 2005

PTO/SB/97 (08-03)

Approved for use through 07/31/2005. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to facsimile number (703) 746-9195 at the United States Patent and Trademark Office and is addressed to Commissioner for Patents, Attn: Office of Initial Patent Examination, P.O. Box 1450, Alexandria, VA 22313-1450

on July 8, 2005  
Date

  
Signature

Madeline I. Johnston, Ph.D., Esq., Reg. No. 36,174

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. Papers submitted with this transmission are as follows: Facsimile Cover Sheet, Certificate of Transmission under 37 CFR 1.8, Request for Corrected Filing Receipt, and Updated Filing Receipt with Corrections Noted Thereon.

Applicant:	<u>Jun Li et al.</u>	Group Art Unit:	<u>1615</u>
Serial No.:	<u>10/796,902</u>	Examiner:	<u>Unknown</u>
Filing Date:	<u>March 9, 2004</u>	Docket No.:	<u>11287.105001</u> <u>AST100</u>
Title:	<u>Microcapsules for encapsulation of bioactive substances</u>		

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

3802290\_1.DOC

**RECEIVED**  
**CENTRAL FAX CENTER**  
**JUL 08 2005**

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No.: 10/796,902  
Applicant: Jun Li et al.  
Conf. No.: 1631  
Filed: March 9, 2004  
T.C./A.U.: 1600/1615  
Examiner: Unknown

Docket No.: 11287.105001 AST100  
Customer No.: 20786  
Title: Microcapsules for Encapsulation of Bioactive Substances

Commissioner for Patents  
Attn: Office of Initial Patent Examination  
P. O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

The updated Filing Receipt mailed January 19, 2005, has been received and reviewed. Applicants, through their attorneys and agents, have discovered an error. More specifically, the entity status is not correct. The entity status for this application should be "large entity" not "small entity." Please note that large, not small, entity fees have been paid in connection with the instant application. Said fees were paid on December 30, 2004.

A copy of the Filing Receipt is enclosed with the aforementioned changes noted thereon.

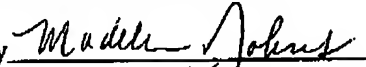
Applicants respectfully request that a corrected Filing Receipt be issued that incorporates the aforementioned correction.

Appl. No. 10/796,902  
Docket No. 11287.105001 AST100  
Request for Corrected Filing Receipt dated July 8, 2005

No fees are believed to be due in connection with this request. However, should the Commissioner determine otherwise, he is authorized to charge such fees to Deposit Account No. 11-0980.

Respectfully submitted,

KING & SPALDING LLP

By   
Madeline I. Johnston Esq.  
Reg. No. 36,174  
Tel.: (404) 572-4600

Date: July 8, 2005

KING & SPALDING LLP  
191 Peachtree Street  
45<sup>th</sup> Floor  
Atlanta, Georgia 30303-1763

**RECEIVED**  
CENTRAL FAX CENTER

Page 1 of 2

JUL 08 2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/796,902	03/09/2004	1615	660	11287.105001 AST100	13	24	4

CONFIRMATION NO. 1631

Madeline I. Johnston  
King & Spalding, LLP  
191 Peachtree Street  
Atlanta, GA 30303-1763

## UPDATED FILING RECEIPT



\*OC000000014889579\*

Date Mailed: 01/19/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jun Li, Singapore, SINGAPORE;  
Chai Hoon Quek, Singapore, SINGAPORE;  
Leong Ming Gan, Singapore, SINGAPORE;  
Harry Yu, Irvine, CA;  
Kam W. Leong, Ellicott City, MD;

Power of Attorney: None

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/02/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/796,902**

Projected Publication Date: 09/15/2005

Non-Publication Request: No

Early Publication Request: No

**RECEIVED**  
JAN 24 2005

KING &amp; SPALDING LLP

~~\*\* SMALL ENTITY \*\*~~

LARGE ENTITY

## Title

Microcapsules for encapsulation of bioactive substances

## Preliminary Class

424

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).